

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Multiple sheets used when necessary)

SHEET 1 OF 1

Application No.	10/695,668
Filing Date	October 28, 2003
First Named Inventor	Thomas W. Burns et al.
Art Unit	3762
Examiner	Unknown
Attorney Docket No.	GLAUKO.011CP2

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
GD	1	4,833,864	11/28/1989	Scholz	
↓	2	6,827,699	12/07/2004	Lynch et al.	
↓	3	6,827,700	12/07/2004	Lynch et al.	
↓	4	2002/0026200	02/28/2002	Savage	
↓	5	2002/0072673	06/13/2002	Yamamoto et al.	
↓	6	2003/0069637	04/10/2003	Lynch	
GD	7	2005/0038334	02/17/2005	Lynch et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>

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Date Considered

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GD	1	DES 490,152	05/18/04	Myall et al.	
	2	RE35,390	12/03/96	Smith	
	3	3,788,327	01/29/74	Donowitz et al.	
	4	4,168,697	09/25/79	Cantekin	
	5	4,175,563	11/27/79	Arenberg et al.	
	6	4,402,681	09/06/83	Haas et al.	
	7	4,428,746	01/31/84	Mendez	
	8	4,501,274	02/26/85	Skjaerpe	
	9	4,521,210	06/04/85	Wong	
	10	4,554,918	11/26/85	White	
	11	4,604,087	08/05/86	Joseph	
	12	4,632,842	12/30/86	Karwoski et al.	
	13	4,634,418	01/06/87	Binder	
	14	4,718,907	01/12/88	Karwoski et al.	
	15	4,722,724	02/02/88	Schocket	
	16	4,733,665	03/29/88	Palmaz	
	17	4,750,901	06/14/88	Molteno	
	18	4,787,885	11/29/88	Binder	
	19	4,804,382	02/14/89	Turina et al.	
	20	4,820,626	04/11/89	Williams et al.	
	21	4,846,172	07/11/89	Berlin	
	22	4,863,457	09/05/89	Lee	
	23	4,886,488	12/12/89	White	
	24	4,900,300	02/13/90	Lee	
	25	4,936,825	06/26/90	Ungerleider	
	26	4,946,436	08/07/90	Smith	
	27	4,968,296	11/06/90	Ritch et al.	
	28	5,041,081	08/20/91	Odrich	
GD	29	5,073,163	12/17/91	Lippman	

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SHEET 2 OF 9

Application No.	10/695,668
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GD	30	5,092,837	03/03/92	Ritch et al.	
	31	5,095,887	03/17/92	Leon et al.	
	32	5,127,901	07/07/92	Odrich	
	33	5,129,895	07/14/92	Vassiliadis et al.	
	34	5,171,213	12/15/92	Price, Jr.	
	35	5,178,604	01/12/93	Baerveldt et al.	
	36	5,180,362	01/19/93	Worst	
	37	5,246,451	09/21/93	Trescony et al.	
	38	5,290,295	03/01/94	Querals et al.	
	39	5,300,020	04/05/94	L'Esperance, Jr.	
	40	5,318,513	06/07/94	Leib et al.	
	41	5,334,137	08/02/94	Freeman	
	42	5,338,291	08/16/94	Speckman et al.	
	43	5,346,464	09/13/94	Camras	
	44	5,360,399	11/01/94	Stegmann	
	45	5,370,607	12/06/94	Memmen	
	46	5,370,641	12/06/94	O'Donnell, Jr.	
	47	5,372,577	12/13/94	Ungerleider	
	48	5,397,300	03/14/95	Baerveldt et al.	
	49	5,433,701	07/18/95	Rubinstein	
	50	5,454,796	10/03/95	Krupin	
	51	5,472,440	12/05/95	Beckman	
	52	5,476,445	12/19/95	Baerveldt et al.	
	53	5,486,165	01/23/96	Stegmann	
	54	5,516,522	05/14/96	Peyman et al.	
	55	5,520,631	05/28/96	Nordquist et al.	
	56	5,557,453	09/17/96	Schalz et al.	
	57	5,558,629	09/24/96	Baerveldt et al.	
GD	58	5,558,630	09/24/96	Fisher	

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GD	59	5,562,641	10/08/96	Flomenblit et al.	
	60	5,601,094	02/11/97	Reiss	
	61	5,601,549	02/11/97	Miyagi	
	62	5,626,558	05/06/97	Suson	
	63	5,626,559	05/06/97	Solomon	
	64	5,639,278	06/17/97	Dereume et al.	
	65	4,037,604	07/26/97	Newkirk	
	66	5,651,783	07/29/97	Reynard	
	67	5,665,114	09/09/97	Weadock et al.	
	68	5,670,161	09/23/97	Healy et al.	
	69	5,676,679	10/14/97	Simon et al.	
	70	5,681,275	10/28/97	Ahmed	
	71	5,702,414	12/30/97	Richter et al.	
	72	5,702,419	12/30/97	Berry et al.	
	73	5,704,907	01/06/98	Nordquist et al.	
	74	5,713,844	02/03/98	Peyman	
	75	5,723,005	03/03/98	Herrick	
	76	5,741,333	04/21/98	Frid	
	77	5,743,868	04/28/98	Brown et al.	
	78	5,752,928	05/19/98	De Roulhac et al.	
	79	5,766,243	06/16/98	Christensen et al.	
	80	5,785,674	07/28/98	Mateen	
	81	5,807,302	09/15/98	Wandel	
	82	5,810,870	09/22/98	Myers et al.	
	83	5,830,139	11/03/98	Abreu	
	84	5,830,171	11/03/98	Wallace	
	85	5,836,939	11/07/98	Negus et al.	
	86	5,865,831	02/02/99	Cozean et al.	
GD	87	5,868,697	02/09/99	Richter et al.	

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Application No. 10/695,668  
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First Named Inventor Thomas W. Burns, et al.  
Art Unit 3762

(Multiple sheets used when necessary)

Examiner Unknown

SHEET 4 OF 9

Attorney Docket No. GLAUKO.011CP2

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
GD	88	5,879,319	03/09/99	Pynson et al.	
	89	5,882,327	03/16/99	Jacob	
	90	5,886,822	03/23/99	Spitzer	
	91	5,893,837	04/13/99	Eagles et al.	
	92	5,908,449	06/01/99	Bruchman et al.	
	93	5,932,299	08/03/99	Katoot	
	94	5,968,058	10/19/99	Richter et al.	
	95	5,981,598	11/09/99	Tatton	
	96	6,004,302	12/21/99	Brierley	
	97	6,007,510	12/28/99	Nigam	
	98	6,007,511	12/28/99	Prywes	
	99	6,033,434	03/07/00	Borghi	
	100	6,045,557	04/04/00	White et al.	
	101	6,050,970	04/18/00	Baerveldt	
	102	6,059,772	05/09/00	Hsia et al.	
	103	6,059,812	05/09/00	Clerc et al.	
	104	6,063,116	05/16/00	Kelleher	
	105	6,063,396	05/16/00	Kelleher	
	106	6,071,286	06/06/00	Mawad	
	107	6,077,299	06/20/00	Adelberg et al.	
	108	6,102,045	08/15/00	Nordquist et al.	
	109	6,142,990	11/07/00	Burk	
	110	6,165,210	12/26/00	Lau et al.	
	111	6,168,575	01/02/01	Soltanpour	
	112	6,174,305	01/16/01	Mikus et al.	
	113	6,187,016	02/13/01	Hedges et al.	
	114	6,193,656	02/27/01	Jeffries et al.	
	115	6,197,056	03/06/01	Schachar	
GD	116	6,203,513	03/20/01	Yaron et al.	

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GD	117	6,228,873	05/08/01	Brandt et al.	
	118	6,231,597	05/15/01	Deem et al.	
	119	6,241,721	06/05/01	Cozean et al.	
	120	6,254,612	07/03/01	Hieshima	
	121	6,266,182	07/24/01	Morita	
	122	6,268,398	07/31/01	Ghosh et al.	
	123	6,342,058	01/29/02	Portney	
	124	6,375,642	04/23/02	Grieshaber et al.	
	125	6,450,984	09/17/02	Lynch et al.	
	126	6,464,724	10/15/02	Lynch et al.	
	127	6,428,501	08/06/02	Reynard	
	128	6,524,275	02/25/03	Lynch et al.	
	129	6,533,768	03/18/03	Hill	
	130	6,544,249	04/08/03	Yu et al.	
	131	6,579,235	06/17/03	Abita et al.	
	132	6,585,680	07/01/03	Bugge	
	133	6,622,473	09/23/03	Lynch et al.	
	134	6,626,858	09/30/03	Lynch et al.	
	135	6,629,981	10/07/03	Bui et al.	
	136	6,638,239	10/28/03	Bergheim et al.	
	137	6,666,841	12/23/03	Gharib et al.	
	138	6,699,211	03/02/04	Savage	
	139	6,736,791	05/18/04	Tu et al.	
	140	6,780,165	08/24/04	Bergheim et al.	
	141	6,783,544	08/31/04	Lynch et al.	
	142	2002/0133168	09/12/02	Smedley et al.	
	143	2002/0143284	10/03/02	Tu et al.	
	144	2002/0169130	11/14/02	Tu et al.	
GD	145	2002/0188308	12/12/02	Tu et al.	

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GD	146	2002/0013546	01/31/02	Grieshaber et al.	
	147	2003/0060752	03/27/03	Bergheim et al.	
	148	2003/0088260	05/08/03	Smedley et al.	
	149	2003/0097151	05/22/03	Smedley et al.	
	150	2003/0120200	06/26/03	Bergheim et al.	
	151	2003/0181848	09/25/03	Bergheim et al.	
	152	2003/0187384	10/02/03	Bergheim et al.	
	153	2003/0187385	10/02/03	Bergheim et al.	
	154	2003/0229303	12/11/03	Haffner et al.	
	155	2004/0024345	02/05/04	Gharib et al.	
	156	2004/0050392	03/18/04	Tu et al.	
	157	2004/0102729	05/27/04	Haffner et al.	
GD	158	2004/0111050	06/10/04	Smedley et al.	
	159	2004/0127843	07/01/04	Tu et al.	

FOREIGN PATENT DOCUMENTS						
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GD	160	200072059 A1	12/06/00	Australia		
	161	2,244,646	08/11/98	Canada		
	162	0 858 788 A1	08/19/98	Europe		
	163	0 898 947 A2	03/03/99	Europe		
	164	1 114 627 A1	11/30/00	Europe		
	165	2 710 269	09/22/93	France		
	166	198 40 047 A1	03/23/00	Germany		
	167	11-123205	1/105/99	Japan		
	168	WO 89/00869	02/09/89	PCT		
	169	WO 91/18568	12/12/91	PCT		
GD	170	WO 92/19294	11/12/92	PCT		

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GD	171	WO 94/13234	06/23/94	PCT		
	172	WO 94/21205	09/29/94	PCT		
	173	WO 95/08310	03/30/95	PCT		
	174	WO 98/30181	01/12/98	PCT		
	175	WO 98/35639	08/20/98	PCT		
	176	WO 99/26567	06/03/99	PCT		
	177	WO 99/30641	06/24/99	PCT		
	178	WO 99/38470	08/05/99	PCT		
	179	WO 00/13627	03/16/00	PCT		
	180	WO 00/64389	04/26/00	PCT		
	181	WO 00/64390	04/26/00	PCT		
	182	WO 00/64391	04/26/00	PCT		
	183	WO 00/64393	11/02/00	PCT		
	184	WO 00/72788	12/07/00	PCT		
	185	WO 01/50943	07/19/01	PCT		
	186	WO 01/78631	10/25/01	PCT		
	187	WO 01/78656	10/25/01	PCT		
	188	WO 03/015659	02/27/03	PCT		
	189	WO 03/073968	09/12/03	PCT		
GD	190	2 296 663 A	07/10/96	United Kingdom		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
GD	191	PCT Publication – WO 01/50943, International Publication Date July 19, 2001.	
GD	192	Detlev Spiegel, <b>7 Chirurgische Glaukomtherapie</b> , pp. 79-88.	
GD	193	L. Jay Katz, M.D., <b>A Call for Innovative Operations for Glaucoma</b> , Arch Ophthalmology, March 2000, vol. 118, pp. 412-413.	

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GD	194	Anselm Kampik and Franz Grehn, <b>Nutzen und Risiken augenärztlicher Therapie, Hauptreferate der XXXIII. Essener Fortbildung für Augenärzte</b> , December 1998. (English translated version enclosed Benefits and Risks of Ophthalmological Therapy.)	
GD	195	Phillip C. Jacobi, MD, Thomas S. Dietlein, MD, and Gunter K. Krieglstein, MD., <b>Goniocurettage for Removing Trabecular Meshwork: Clinical Results of a New Surgical Technique in Advanced Chronic Open-Angle Glaucoma</b> , American Journal of Ophthalmology, May 1999, pp. 505-510.	
GD	196	Philip C. Jacobi, MD, Thomas S. Dietlein, MD, and Gunter K. Krieglstein, MD., <b>Bimanual Trabecular Aspiration in Pseudoexfoliation Glaucoma</b> , Ophthalmology, 1998, vol. 105, No. 5, May 1998, pp. 886-894.	
GD	197	Phillip C. Jacobi, MD, Thomas S. Dietlein, MD, and Gunter K. Krieglstein, MD., <b>Microendoscopic Trabecular Surgery in Glaucoma Management</b> , Ophthalmology, 1999, vol. 106, No. 3, pp. 538-544.	
GD	198	Arthur L. Schwartz, MD, and Douglas R. Anderson, MD, <b>Trabecular Surgery</b> , Arch Ophthalmol, vol. 92, August 1974, pp. 134-138.	
GD	199	R.A. Hill, Q. Ren, D.D. Nguyen, L-H Liaw, and M.W. Berns, <b>Free-Electron Laser (FEL) Ablation of Ocular Tissues</b> , Lasers Med Sci 1998, pp. 13:219-226.	
GD	200	Maurice H. Luntz, MD, and D.G. Livingston, B.Sc., <b>Trabeculotomy AB Externo and Trabeculectomy in Congenital and Adult-Onset Glaucoma</b> , American Journal of Ophthalmology, February 1977, vol. 83, No. 2, pp. 174-179.	
GD	201	W.M. Grant, MD, <b>Further Studies on Facility of Flow Through the Trabecular Meshwork</b> , A.M.A. Archives of Ophthalmology, Oct. 1958, vol. 60, pp. 523-533.	
GD	202	Richard A. Hill, MD, George Baerveldt, MD, Serdar A. Ozler, MD, Michael Pickford, BA, Glen A. Profeta, BS, and Michael W. Berns, PhD, <b>Laser Trabecular Ablation (LTA)</b> , Laser in Surgery and Medicine, 1991, vol. 11, pp. 341-346.	
GD	203	Detlev Spiegel, MD, Karin Kobuch, MD, Richard A. Hill, MD, Ronald L. Gross, MD, <b>Schlemm's Canal Implant: A New Method to Lower Intraocular Pressure in Patients With POAG</b> , Ophthalmic Surgery and Lasers, June, 1999, vol. 30, No. 6, pp. 492-494.	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	Application No.	10/695,668
	Filing Date	October 28, 2003
	First Named Inventor	Thomas W. Burns, et al.
	Art Unit	3762
(Multiple sheets used when necessary)	Examiner	Unknown
SHEET 9 OF 9	Attorney Docket No.	GLAUKO.011CP2

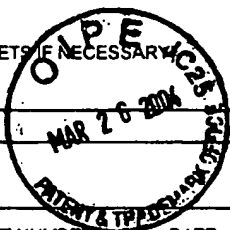
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GLAUKO.011CP2	APPLICATION NO. 10/695,668
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Thomas W. Burns, et al.	
		FILING DATE October 28, 2003	GROUP Unknown



U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
GD	1	4,521,210	06/85	Wong			
GD	2	4,936,825	06/90	Ungerleider			
GD	3	5,178,604	01/93	Baerveldt, et al.			
	4						
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FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
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